

1 **Genomic Prediction of The Performance of Tropical Doubled Haploid Maize Lines under**  
2 **Artificial *Striga hermonthica* (Del.) Benth. Infestation**

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20 **ABSTRACT**

21 *Striga hermonthica* (Del.) Benth., a parasitic weed, causes substantial yield losses in maize  
22 production in sub-Saharan Africa (SSA). Breeding for *Striga* resistance in maize is constrained by  
23 limited genetic diversity for *Striga* resistance within the elite germplasm and phenotyping capacity  
24 under artificial *Striga* infestation. Genomics-enabled approaches have the potential to accelerate  
25 identification of *Striga* resistant lines for hybrid development. The objectives of this study were to  
26 evaluate the accuracy of genomic selection for traits associated with *Striga* resistance and grain  
27 yield (GY) and to predict genetic values of tested and untested doubled haploid (DH) maize lines.  
28 We genotyped 606 DH lines with 8,439 rAmpSeq markers. A training set of 116 DH lines crossed  
29 to two testers was phenotyped under artificial *Striga* infestation at three locations in Kenya.  
30 Heritability for *Striga* resistance parameters ranged from 0.38–0.65 while that for GY was 0.54.  
31 The prediction accuracies for *Striga* resistance-associated traits across locations, as determined by  
32 cross validation (CV) were 0.24 to 0.53 for CV0 and from 0.20 to 0.37 for CV2. For GY, the  
33 prediction accuracies were 0.59 and 0.56 for CV0 and CV2, respectively. The results revealed 300

1 DH lines with desirable genomic estimated breeding values (GEBVs) for reduced number of  
2 emerged *Striga* plants (STR) at 8, 10, and 12 weeks after planting. The GEBVs of DH lines for  
3 *Striga* resistance associated traits in the training and testing sets were similar in magnitude. These  
4 results highlight the potential application of genomic selection in breeding for *Striga* resistance in  
5 maize. The integration of genomic-assisted strategies and DH technology for line development  
6 coupled with forward breeding for major adaptive traits will enhance genetic gains in breeding for  
7 *Striga* resistance in maize.

8 **Keywords:** *Striga*; maize breeding; genomic prediction; doubled haploid; sparse phenotyping

9 **Abbreviations:** AUSNPC, area under *Striga* number progress curve; BLUE, best linear unbiased  
10 estimate; BLUP, best linear unbiased prediction; CV, cross validation; DH, doubled haploid;  
11 GEBV, genomic estimated breeding value; GRM, genomic relationship matrix; GP, genomic  
12 prediction; GY, grain yield; KALRO, Kenya Agricultural and Livestock Research Organization;  
13 SDR, *Striga* damage rating; STR8WAP, emerged *Striga* plants at 8 weeks after planting;  
14 STR10WAP, emerged *Striga* plants at 10 weeks after planting; STR12WAP, emerged *Striga*  
15 plants at 12 weeks after planting; TRN, training set; TST, testing set.  
16

## 17 Introduction

18 *Striga hermonthica* (Del.) Benth. is a parasitic weed that affects maize (*Zea mays* L.) production  
19 in sub-Saharan Africa (SSA). *Striga* spp. has a wide geographical distribution and affects up to  
20 60% of the arable land in SSA (Ejeta and Gressel, 2007; Mbuvi *et al.* 2017). *Striga* adversely  
21 affects maize production in SSA causing yield losses ranging from 20–100% (Ransom *et al.* 1990;  
22 Berner *et al.* 1996; Khan *et al.* 2006; Ejeta, 2007). *Striga* depends entirely on its host for growth  
23 and survival. Under favorable growing conditions, *Striga* seeds break dormancy in response to  
24 germination stimulants (Strigolactones) produced by the host. A germinated *Striga* plant then  
25 establishes vascular connections with the host's roots via the haustoria through which it draws  
26 nutrients and water resulting in stunted growth, chlorosis, impaired photosynthesis, reduced maize  
27 biomass, and yield loss (Gurney *et al.* 1995; Spallek *et al.* 2013).

28 Several control strategies have been proposed to reduce the burden of *Striga* for farmers in SSA.  
29 These include crop rotation (Oswald and Ramson, 2001), intercropping (Khan *et al.* 2002), push-  
30 pull technology (Khan *et al.* 2008), host plant resistance (Menkir *et al.* 2007; Rich and Ejeta, 2008),  
31 herbicide resistant maize (Makumbi *et al.* 2015) and integrated pest management (Khan *et al.*  
32 2016; Kanampiu *et al.* 2018). Host plant resistance is one of the most promising approaches for

1 *Striga* control in SSA as the technology is embedded in the seed. Host plant resistance, coupled  
2 with other control approaches, is considered an important *Striga* control strategy for smallholder  
3 farmers due to its ease of deployment and adoption (Mwangangi *et al.* 2021).

4 Breeding for *Striga* resistance is hampered by the limited sources of resistance within elite maize  
5 germplasm, complex genetics of resistance, complicated host-parasite relationship (Amusan *et al.*  
6 2008), and limited phenotyping capacity. Phenotyping for *Striga* resistance or tolerance requires  
7 uniform artificial *Striga* infestation that exposes maize seedlings to a large number of *Striga* seeds  
8 to prevent escape (Kim, 1996; Kling *et al.* 1999). Although the artificial *Striga* infestation  
9 technique has been successful, breeders are limited by lack of large experimental fields that can  
10 solely be dedicated for artificial screening. This can slow progress in identifying resistant inbred  
11 lines and hybrids as a limited number of genotypes can be screened at a time. Despite these  
12 challenges significant progress has been made in developing and deploying *Striga* resistant maize  
13 varieties in West Africa by the International Institute of Tropical Agriculture (IITA,  
14 <https://www.iita.org>) and its partners over the years (Kim *et al.* 1994; Badu-Apraku *et al.* 2007;  
15 Menkir and Kling, 2007; Menkir *et al.* 2012; Menkir and Meseke, 2019). A study by Menkir *et al.*  
16 (2007) showed that the key traits for *Striga* resistance breeding namely grain yield, *Striga* damage  
17 rating, and *Striga* counts are conditioned by many genes with small effects. Recurrent selection  
18 studies have shown improvements in *Striga* resistance related traits in maize in West Africa  
19 (Menkir and Kling, 2007; Badu-Apraku *et al.* 2009; Badu-Apraku., 2010). Recent studies reported  
20 genetic gains of 93.7 kg ha<sup>-1</sup> yr<sup>-1</sup> (Menkir and Meseke, 2019) and 101 kg ha<sup>-1</sup> yr<sup>-1</sup> (Badu-Apraku  
21 *et al.* 2020a) for grain yield under *Striga* infestation. These gains were attributed to significant  
22 gains in the reduced number of emerged *Striga* plants and less *Striga* damage. Menkir and Meseke  
23 (2019) reported gains of -6.7% and -5.5% year<sup>-1</sup> for number of emerged *Striga* plants at 8 and 10  
24 weeks after planting, respectively. The reported genetic gains are attributed to the use of effective  
25 screening protocols (Kim, 1994; Kim and Adetimirin, 2001), and better understanding of the  
26 genetics of *Striga* resistance (Kim, 1994; Yallou *et al.* 2009; Badu-Apraku *et al.* 2013).

27 The genetic gains reported in breeding for *Striga* resistance at IITA have been achieved through  
28 development of inbred lines using conventional pedigree breeding method and backcrossing. In  
29 addition, recurrent selection has been used to accumulate desirable alleles for traits associated with  
30 resistance to *Striga* (Badu-Apraku *et al.* 2007; Menkir and Kling, 2007). Developing near-  
31 homozygous inbred lines in 6–8 generations through the pedigree method could slow the rate of

1 genetic gain in breeding for resistance to *Striga* in maize. The use of the doubled haploid (DH)  
2 technology in maize through which completely homozygous lines can be developed within 13–14  
3 months could significantly reduce the breeding cycle time, and accelerate population and variety  
4 development (Bernardo, 2009; Chaikam *et al.* 2019). Application of DH technology for line  
5 development for SSA has been implemented at a large scale at CIMMYT since 2012 (Prasanna *et*  
6 *al.* 2012; Chaikam *et al.* 2019).

7 The application of marker assisted selection along with conventional breeding and DH technology  
8 can speed up the identification of *Striga* resistant germplasm. Several quantitative trait loci (QTLs)  
9 related to *Striga* resistance have been reported (Badu-Apraku *et al.* 2020b, c; 2023). Genome-wide  
10 association studies (GWAS) have identified significant single nucleotide polymorphisms (SNPs)  
11 associated with number of emerged *Striga* plants and *Striga* damage rating in tropical maize  
12 (Adewale *et al.* 2020; Stanley *et al.* 2021; Gowda *et al.* 2021; Okunlola *et al.* 2023). Accelerated  
13 line and variety development can also be achieved through the incorporation of genomic selection  
14 (GS) in a breeding program. The use of DH lines in combination with genomic prediction/selection  
15 methods can accelerate genetic improvement in crop plants (Heffner *et al.* 2010; Song *et al.* 2017;  
16 Cerrudo *et al.* 2018).

17 Genomic selection is an approach for improving complex quantitative traits. Genomic selection  
18 (Meuwissen *et al.* 2001) and genomic prediction of complex traits (de los Campos *et al.* 2009;  
19 Crossa *et al.* 2010; Pérez-Rodríguez *et al.* 2012) target breeding value estimates which include the  
20 parental average and a deviation resulting from Mendelian sampling (Heffner *et al.* 2009; Crossa  
21 *et al.* 2017). Genomic prediction has been used to estimate additive as well as non-additive effects  
22 of lines (Crossa *et al.* 2017; Bonnett *et al.* 2022). Estimation of additive gene effects allows for  
23 selection in early generations such as F<sub>2</sub> (Crossa *et al.* (2017). Genomic prediction accounts for  
24 Mendelian segregation and considers the realized covariances based on dense molecular markers  
25 that span the genome (Pérez-Rodríguez *et al.* 2012). With both marker and phenotypic data, the  
26 genetic values of genotypes evaluated in single and across environments is estimated using  
27 genomic prediction through genotype by environment (G × E) interaction analyses. Research on  
28 crop and animal breeding has shown that prediction accuracy in selection for complex traits using  
29 pedigree information can significantly be improved through genomic selection with different  
30 models (Crossa *et al.* 2022).

1 Multiple genomic prediction models including parametric and non-parametric statistical and  
2 computational models that account for both genetic and non-genetic effects have been developed  
3 to estimate genomic breeding values (GEBVs) (Crossa *et al.* 2017). Additionally, linear and non-  
4 linear kernels that are based on genomic relationship matrices have been reported to be better than  
5 the conventional methods (Crossa *et al.* 2022). Non-linear genomic kernels such as the reaction  
6 norm model can account for epistatic effects between markers and incorporate large-scale  
7 environmental data (enviromics) and  $G \times E$  analyses for improved prediction accuracy (Jarquín *et*  
8 *al.* 2014). The prediction accuracy of the model is assessed through cross validation after which  
9 an appropriate model is used to predict the performance of untested genotypes by estimating their  
10 genomic breeding values. The candidate lines are therefore selected based on GEBVs generated  
11 from the marker and phenotype information of the training population (Crossa *et al.* 2017). Only  
12 genotypes with the best GEBVs are selected and advanced depending on the trait. Genomic  
13 selection can thus accelerate breeding by reducing the duration of line and variety development,  
14 while also reducing phenotyping costs in crops like maize (Crossa *et al.* 2013; Edriss *et al.* 2017;  
15 Beyene *et al.* 2021; Butoto *et al.* 2022), and in other crops (Pérez-Rodríguez *et al.* 2012; Iwata *et*  
16 *al.* 2015; Velazco *et al.* 2019).

17 The use of genomic selection in breeding programs focusing on improving *Striga* resistance for  
18 increased genetic gains in grain yield under artificial *Striga* infestation could provide an option to  
19 overcome the challenge of limited and costly phenotyping. The International Maize and Wheat  
20 Improvement Center (CIMMYT, <https://www.cimmyt.org>) has developed several DH lines using  
21 *Striga* resistant maize germplasm from IITA. This germplasm could provide insights on the  
22 application of genomic selection for the incorporation of *Striga* resistance in mid-altitude maize  
23 germplasm in Eastern and Southern Africa where *Striga hermonthica* still presents a major  
24 challenge. The objectives of this study were to (i) assess the efficiency of genomic prediction for  
25 *Striga* resistance associated traits and grain yield using the reaction norm model, and (ii) predict  
26 the genetic values of field tested and untested DH lines.

## 27 **Materials and methods**

### 28 **Genetic material**

29 This study utilized 606 DH lines developed by CIMMYT at the Maize DH Facility in Kiboko,  
30 Kenya (Supplementary Table 1). The DH lines were developed from induction of  $F_2$  and  $BC_1F_2$

1 populations formed by crossing *Striga* resistant donor lines from IITA with elite mid-altitude  
2 tropical maize lines developed by CIMMYT. The *Striga* resistance donor lines from IITA include  
3 TZSTR182, TZSTR184, TZISTR1156, TZISTR1158 and TZSTR167. Line TZSTR167 was  
4 derived from a yellow composite (TZLCOMP1.Y), whereas lines TZSTR182, TZSTR184,  
5 TZISTR1156 and TZSTR1158 were derived from bi-parental crosses of white inbred lines derived  
6 from a *Striga* resistant synthetic (ACRSYN-W) and a composite (TZLCOMPIC4). The elite  
7 CIMMYT lines (CML521, CML522, and CML543) used for crossing had varying levels of  
8 drought tolerance and/or herbicide (imazapyr) resistance. Some F<sub>1</sub> crosses were advanced to F<sub>2</sub>  
9 while others were planted alongside either the IITA donor lines or the adapted CIMMYT lines and  
10 crossed to form BC<sub>1</sub>F<sub>1</sub>. The BC<sub>1</sub>F<sub>1</sub> were selfed to form BC<sub>1</sub>F<sub>2</sub> populations which were then  
11 submitted for DH induction. There were 171 and 435 DH lines developed from F<sub>2</sub> and BC<sub>1</sub>F<sub>2</sub>  
12 populations, respectively. Of the 606 DH lines, 116 lines derived using CML522 (a drought  
13 tolerant and herbicide resistant line) as a parent were selected to serve as the training population  
14 (TRN) and crossed to two inbred line testers from IITA to form 232 testcross hybrids.

### 15 **Experimental design, test locations and artificial *Striga* infestation**

16 The 232 testcross (TC) hybrids were part of 351 TC hybrids that were developed from new DH  
17 lines and were tested in two trials. Trial 1 had 180 entries while Trial 2 had 171 entries. Each trial  
18 included 116 TC hybrids from the TRN set. Only 232 TC hybrids were used for this study as only  
19 116 lines had both genotypic and phenotypic data. Trial 1 included two internal genetic gain checks  
20 and six commercial checks while Trial 2 had two internal genetic gain checks and seven  
21 commercial checks. The experimental design was 4 × 47 and 4 × 45 alpha-lattice with two  
22 replications for Trials 1 and 2, respectively. Each experimental unit consisted of one 4 m row  
23 spaced 0.75 m apart and 0.20 m space between plants, giving a plant population density of  
24 approximately 66,666 plants ha<sup>-1</sup> at all locations. The hybrids were evaluated in field trials under  
25 artificial *Striga* infestation at the Kenya Agricultural and Livestock Research Organization  
26 (KALRO) research stations at Kibos (0°2'S, 34°48'E, 1193 masl) and Alupe (0°30'N, 34°7'E, 1250  
27 masl), and at Siaya ATC (03°10'N, 34°17'E, 1288 masl) in 2020. The soil types are classified as  
28 Eutric Cambisol, Orthic Ferralsol, and Plinthic Ferralsol at Kibos, Alupe, and Siaya ATC,  
29 respectively. All locations have a bimodal rainfall distribution (March–July and September–  
30 November), with most of the rain falling between March–July. The fields used for artificial *Striga*

1 infestation at the research stations had been previously used for imazapyr herbicide studies  
 2 (Kanampiu *et al.* 2002, 2018; Makumbi *et al.* 2015), whose residual toxicity (Alister and Kogan,  
 3 2005) kills *Striga* seed in the soil.

4 To obtain uniform exposure to *Striga* for each genotype, artificial *Striga* infestation was used.  
 5 *Striga* seed was collected from infested maize fields in the *Striga* infested belt of western Kenya  
 6 (Gethi *et al.* 2005). *Striga* inoculum was prepared by thoroughly mixing 10g of *Striga* seeds, with  
 7 5 kg of sand. The *Striga* seed-sand inoculum (20 g) was applied to each planting hole at a depth  
 8 of 7 to 10 cm using a calibrated spoon that delivered up to ~3,000 *Striga* seeds to ensure uniform  
 9 *Striga* infestation in the trials (Makumbi *et al.* 2015). The *Striga* seed-sand inoculum was placed  
 10 directly at the bottom of the planting hole for uniform exposure of the maize plants to *Striga* from  
 11 the onset of germination. Di-ammonium phosphate (DAP, 18:46:0) fertilizer was applied at half  
 12 the recommended rate (30 kg ha<sup>-1</sup>) at planting to enhance plant establishment but avoid suppressing  
 13 *Striga* germination. Half dose (30 kg ha<sup>-1</sup>) of calcium ammonium nitrate (CAN, 26%) fertilizer  
 14 was used for topdressing at 4 weeks after planting. Standard agronomic and cultural practices were  
 15 performed as recommended for each location. Hand weeding was carried out to eliminate all weeds  
 16 except *Striga* plants.

## 17 **Data collection**

18 Data were recorded on the number of emerged *Striga* plants (STR), *Striga* damage rating (SDR)  
 19 and ear weight. The number of emerged *Striga* plants per plot was recorded within 15 cm of either  
 20 side of the row at 8, 10 and 12 weeks after planting (WAP). The SDR was recorded at 10 (SDR1)  
 21 and 12WAP (SDR2) using a 1–9 rating scale where 1 refers to a healthy plant with no visible  
 22 symptoms of *Striga* damage (resistant) and 9 is highly susceptible to *Striga* with totally scorched  
 23 leaves, absent ears, and untimely death of the host plant (Kim, 1991; Kim *et al.* 2002). The area  
 24 under *Striga* number progress curve (AUSNPC) was computed from the three STR plant counts  
 25 (8, 10, and 10 WAP) following the formula for calculating the area under disease progress curve  
 26 (AUDPC) (Shaner and Finney, 1977) as:

$$27 \quad \text{AUSNPC} = \sum_{i=1}^n \left( \frac{y_i + y_{i-1}}{2} \right) (t_i - t_{i-1}),$$

28 where  $y_i$  is the number of *Striga* plants at the  $i$ th observation,  $t_i$  is the time point in days after  
 29 planting at the  $i$ th observation and  $n$  is the total number of observations.

1 Finally, grain yield expressed in tons per hectare ( $t\ ha^{-1}$ ) was computed based on ear weight per  
 2 plot, assuming 80% shelling percentage and adjusted to 12.5% grain moisture content.

### 3 **Genotypic data**

4 Leaf samples of the 606 DH inbred lines were collected three weeks after planting and shipped to  
 5 Intertek laboratories in Sweden for DNA extraction. The DNA samples were then forwarded to  
 6 the Institute for Genomic Diversity, Cornell University (Ithaca, NY, USA) for genotyping with  
 7 repetitive amplicon sequences (rAmpSeq markers). A genome indexing approach was used for  
 8 designing primers using the conserved regions of the genome. The repeat amplicons were then  
 9 multiplexed for genotyping as described by Buckler et al. (2016). The rAmpSeq protocol is a  
 10 simple cost-effective sequencing technology which uses targeted amplicon sequencing approach  
 11 and gene specific primers to amplify targeted regions of interest. The DNA library was  
 12 constructed, mapped to B73 maize reference genome (version 3) and each unique sequence tag  
 13 was regarded as a dominant marker. The dominant markers were saved in present-absent variant  
 14 (PAV) format where one (1) and zero (0) denoted present or absent, respectively. For the 606 DH  
 15 lines, a total of 8,439 sequence tags were called. The marker quality control (QC) process which  
 16 involved the exclusion of monomorphic and uninformative markers, markers with minor allele  
 17 frequencies (MAF)  $<0.05$  and those whose variances were equal to zero was carried out in R  
 18 Software (R Core Team, 2022). After QC, 5,380 high quality rAmpSeq markers were selected for  
 19 use in genomic prediction.

### 20 **Statistical analyses**

#### 21 **Analysis of variance**

22 *Striga* count data were tested for normality using the Shapiro-Wilk test before conducting analysis  
 23 of variance. Analysis of individual trials was carried out using META-R (Alvarado *et al.* 2020).  
 24 The best linear unbiased estimates (BLUEs) and the best linear unbiased predictions (BLUPs) were  
 25 computed by a linear mixed model in which genotype effect was considered as fixed and random,  
 26 respectively. The BLUEs were used for the genomic prediction model as input data while the  
 27 random models were used to evaluate quality of individual trials. All other effects in the model  
 28 were considered random. The linear mixed model used for single site analysis is as follows:

$$29 \quad y_{ijk} = \mu + G_i + R_j + B_k(R_j) + \varepsilon_{ijk},$$



1 where  $y_{ijk}$  is the response variable;  $\mu$  is an intercept;  $G_i$  is the effect of the  $i$ th genotype;  $R_j$  is the  
 2 effect of  $j$ th replicate;  $B_k(R_j)$  is the effect of the  $k$ th block within the  $j$ th replicate; while  $\varepsilon_{ijk}$  is the  
 3 experimental error associated with the  $i$ th genotype,  $j$ th replicate and  $k$ th block. We assumed  
 4  $\varepsilon \sim NIID(0, \sigma_\varepsilon^2)$ , where *NIID* is normal independent and identically distributed random variables,  
 5  $\sigma_\varepsilon^2$  is the associated variance parameter.

6 After individual analysis, data was analyzed combined across locations with a linear mixed model  
 7 using ASReml-R version 4.2 (Butler *et al.* 2009). From this point, moving forward, the  
 8 environment is synonymous with location. The linear mixed model fitted for the combined analysis  
 9 was:

$$10 \quad y_{ijkl} = \mu + G_i + E_j + R_k(E_j) + B_l(ER)_{jk} + GE_{ij} + \varepsilon_{ijkl},$$

11 where  $y_{ijkl}$  is the response variable;  $\mu$  is an intercept;  $G_i$  is the effect of the  $i$ th genotype;  $E_j$  is the  
 12 effect of the  $j$ th environment;  $R_k(E_j)$  is the effect of the  $k$ th replicate in the  $j$ th environment;  
 13  $B_l(ER)_{jk}$  is the effect of the  $l$ th block within the  $k$ th replicate at the  $j$ th environment;  $GE_{ij}$  is the  
 14 effect of the interaction between the  $i$ th genotype and the  $j$ th environment; while  $\varepsilon_{ijkl}$  is the  
 15 experimental error associated with the  $i$ th genotype,  $j$ th environment,  $k$ th replicate and  $l$ th block  
 16 where the error term is assumed to be normally, identical, and independently distributed (NIID)  
 17 with mean zero and homoscedastic variance  $\sigma_\varepsilon^2$ . All effects except  $\mu$  and  $E_j$  were considered  
 18 random effects.

19 Broad sense heritability was estimated for individual and combined environments according to  
 20 Hallauer *et al.* (2010). At individual environments, heritability was computed as:

$$21 \quad H_a^2 = \frac{\sigma_G^2}{\left[\sigma_G^2 + \frac{\sigma_\varepsilon^2}{R}\right]},$$

22 where  $H_a^2$  is the broad sense heritability for individual environments,  $\sigma_G^2$  is the genotypic variance,  
 23  $\sigma_\varepsilon^2$  is the variance associated to the error and  $R$  is the number of replications. The heritability across  
 24 environments was computed as:

$$25 \quad H_b^2 = \frac{\sigma_G^2}{\left[\sigma_G^2 + \frac{\sigma_{GE}^2}{E} + \frac{\sigma_\varepsilon^2}{E \times R}\right]},$$

1 where  $H_b^2$  is the broad sense heritability for combined environments,  $\sigma_G^2$  is the genotypic variance,  
 2  $\sigma_{GE}^2$  is the variance of the interaction between the genotype and the environment,  $E$  is the number  
 3 of environments and  $R$  is the number of replicates, and the  $\sigma_\epsilon^2$  is the residual variance. BLUPs  
 4 obtained from the combined phenotypic analysis were used to calculate Pearson's correlation  
 5 coefficients among the different traits.

## 6 Genomic prediction

7 We computed a genomic relationship matrix (GRM) according to Lopez-Cruz et al. (2015) for use  
 8 in subsequent analysis. The GRM was computed as;  $\mathbf{G} = \mathbf{M}/p$ , where  $\mathbf{M}$  is the matrix of markers  
 9 centered and standardized by column (mean zero and variance one by marker) and  $p$  is the number  
 10 of markers. The objective of genomic prediction was to estimate the number of emerged *Striga*  
 11 plants, *Striga* damage rating, AUSNPC and grain yield for lines not evaluated in the field. Given  
 12 that some of the genotyped lines were evaluated at three locations (Kibos, Alupe, and Siaya), we  
 13 employed the reaction norm model proposed by Jarquín et al. (2014) to predict GEBVs considering  
 14 the environments, markers and the interaction between genotypes and environments. The BLUEs  
 15 obtained from phenotypic analysis were used for genomic prediction. The equation for the reaction  
 16 norm model is:

$$17 \quad \mathbf{y} = \mathbf{Z}_E \boldsymbol{\beta}_E + \mathbf{Z}_g \mathbf{g} + \mathbf{u} + \mathbf{e},$$

18 where  $\mathbf{y}$  is the BLUEs of the response vector (number of emerged *Striga* plants, *Striga* damage  
 19 rating, AUSNPC or grain yield),  $\mathbf{Z}_E$  is a design matrix for environments (locations),  $\boldsymbol{\beta}_E$  is the  
 20 vector effect of the environments,  $\boldsymbol{\beta}_E \sim MN(\mathbf{0}, \sigma_E^2 \mathbf{I})$ , where  $MN$  is multivariate normal  
 21 distribution,  $\mathbf{0}$  is a vector of zeros,  $\sigma_E^2$  is the variance parameter associated with environments and  
 22  $\mathbf{I}$  is the identity matrix;  $\mathbf{Z}_g$  is a matrix that connects phenotypes with genotypes, and  $\mathbf{g}$  is the vector  
 23 of random effects of genotypes. We assumed  $\mathbf{g} \sim MN(\mathbf{0}, \sigma_g^2 \mathbf{G})$  with  $\sigma_g^2$  the variance associated to  
 24 the genotypes,  $\mathbf{G}$  is a genomic relationship matrix (López-Cruz *et al.* 2015);  $\mathbf{u}$  represents the  
 25 interaction, we assumed  $\mathbf{u} \sim MN(\mathbf{0}, \sigma_{g \times E}^2 \mathbf{Z}_g \mathbf{G} \mathbf{Z}_g^t \# \mathbf{Z}_E \mathbf{Z}_E^t)$ , with  $\sigma_{g \times E}^2$  the variance parameter  
 26 associated to the interaction and  $\#$  representing the element-wise product of two matrices. Finally,  
 27  $\mathbf{e}$  represents the error, we assumed  $\mathbf{e} \sim MN(\mathbf{0}, \sigma_\epsilon^2 \mathbf{I})$ , with  $\sigma_\epsilon^2$  the variance associated to the error.  
 28 Furthermore, we also assumed that  $\boldsymbol{\beta}_E, \mathbf{g}, \mathbf{u}$  and  $\mathbf{e}$  are distributed independently. In this study, no  
 29 environmental variables were considered and therefore the environmental effect corresponds to a

1 dummy location effect. The training set (TRN) consisted of phenotypic data of 116 DH lines  
2 evaluated in 232 testcrosses at Kibos, Alupe, and Siaya under artificial *Striga* infestation while the  
3 testing set (TST) consisted of the 490 DH lines not evaluated in the field.

#### 4 **Cross-validation**

5 Two cross validations schemes were used to determine the prediction accuracy of the reaction  
6 norm model. Using the reaction norm model (Jarquín *et al.* 2014), two main prediction scenarios  
7 were considered: cross validation 1 (CV1) and cross validation 2 (CV2) (Burgueño *et al.* 2012).  
8 The CV1 was used to predict the performance of new lines that have not been field screened under  
9 artificial *Striga* infestation while CV2 sought to predict the genetic value of the lines in locations  
10 in which they have not been tested but were tested in other environments. For the computation of  
11 both CV1 and CV2 correlation values, 20% of the lines were considered as the testing set while  
12 the remaining 80% were used to train the model in 50-fold cross validations. The training data set  
13 was used to train the model while testing set was used to estimate the model prediction accuracy  
14 measured by the Pearson's correlation coefficient between observed and predicted values. For each  
15 of the 50 random partitions, prediction accuracy was computed within and across environments  
16 (locations) for all traits. The reaction norm model was fitted using the BGLR package in R (Pérez-  
17 Rodríguez and de los Campos, 2014). Inferences were based on 30,000 iterations with a thin of  
18 10, obtained after discarding the first 15,000 iterations that were taken as burn-in.

19 To evaluate the prediction accuracy in each environment, a third form of cross validation (CV0)  
20 involving use of phenotypic data from two environments to estimate the prediction accuracy of the  
21 model in estimating the performance of lines in the third environment was carried out. The  
22 prediction accuracy for each environment was estimated when the phenotypic data in that specific  
23 environment was treated as missing values (the testing set) using BGLR (Pérez-Rodríguez and de  
24 los Campos, 2014).

## 25 **Results**

### 26 **Analysis of variance and testcross performance**

27 In this study, we used 606 new DH lines of which 116 were crossed to two testers to generate 232  
28 testcross hybrids that were phenotyped under artificial *Striga* infested conditions at three locations  
29 in Kenya. Analysis of variance at individual locations showed significant variation among hybrids

1 for all traits measured (Table 1). The magnitude of genetic variance for number of emerged *Striga*  
 2 plants at 10 WAP (STR10WAP) and 12 WAP (STR12WAP) was 8.2 and 16.5 times greater than  
 3 that for emerged *Striga* plants at 8 WAP (STR8WAP), respectively. Broad-sense heritability was  
 4 low to moderate for *Striga* resistance parameters (0.23–0.54) and moderate for grain yield (0.31–  
 5 0.53). Broad-sense heritability for the *Striga* resistance parameters was lower at Siaya compared  
 6 to the other two locations. The mean number of emerged *Striga* plants at 8WAP was the lowest at  
 7 Alupe (7), but the same location recorded the highest mean number of emerged *Striga* plants at  
 8 10WAP and 12WAP (Fig.1). The *Striga* damage rating (SDR), at 10WAP, 12WAP, and the  
 9 average SDR were highest at Siaya and lowest at Alupe (Fig. 1). The AUSNPC was lowest at  
 10 Kibos and Siaya (190 m<sup>2</sup>). Mean grain yield was highest at Alupe (5.3 t ha<sup>-1</sup>) and lowest at Siaya  
 11 (3.3 t ha<sup>-1</sup>).

12 Combined analysis of variance under artificial *Striga* infestation revealed highly significant ( $P <$   
 13 0.001) variation among hybrids for all traits (Table 2). The  $G \times E$  interaction was significant for  
 14 all traits. The  $\hat{\sigma}_G^2$  was 3 and 5 times larger than  $\hat{\sigma}_{GE}^2$  for STR10WAP and STR12WAP, respectively.  
 15 Broad-sense heritability was moderate to high for all *Striga* resistance parameters (0.38–0.65) and  
 16 grain yield (0.54). The number of emerged *Striga* plants ranged from 4 to 126 with a mean of 8,  
 17 27 and 39 at 8, 10 and 12 WAP, respectively. The AUSNPC ranged from 59.5 to 331 m<sup>2</sup> with a  
 18 mean of 102.2 m<sup>2</sup> while grain yield across locations ranged from 3.1 to 6.1 t ha<sup>-1</sup> with an average  
 19 of 4.5 t ha<sup>-1</sup>. Significant positive correlation between the three *Striga* resistance parameters were  
 20 revealed (Fig. 2). The correlations between the number of emerged *Striga* plants at 8, 10 and  
 21 12WAP and AUSNPC were high ( $r = 0.73$ – $0.98$ ). *Striga* damage rating showed significant  
 22 negative correlation with grain yield ( $r = -0.73$  –  $-0.79$ ).

### 23 **Prediction accuracy**

24 The 606 DH lines were genotyped with 8,439 markers of which 5,380 high quality rAmpSeq  
 25 markers were used for the analysis. Three cross validation (CV) schemes were used to assess the  
 26 prediction accuracy of the reaction norm model. The CV0 and CV2 were used to determine the  
 27 prediction accuracy of the model when estimating the performance of previously phenotyped lines  
 28 in new environments while CV1 was applied when assessing the accuracy of the model when  
 29 estimating the performance of newly developed lines that have not been tested before. The results  
 30 indicate moderate prediction accuracies for most traits at Kibos and Alupe (Table 3). For individual

1 locations, Alupe showed better prediction accuracies for most traits across the three CV schemes  
2 while Siaya had the lowest prediction accuracies for the *Striga* resistance parameters but the  
3 highest for grain yield with CV0 (0.59) and CV2 (0.52). The prediction accuracies for grain yield  
4 were similar for CV0 and CV2 at individual locations. For across location analysis, the predictive  
5 accuracy of the model was better for CV0 compared to both CV2 and CV1 for most traits except  
6 number of emerged *Striga* plants at 10 and 12WAP (Table 3). Overall, the prediction accuracy of  
7 CV0 (0.24–0.59) and CV2 (0.20–0.56) was higher than that of CV1 (0.05–0.29). Grain yield  
8 generally showed better prediction accuracies (CV0 and CV2) across the trial locations compared  
9 to the *Striga* resistance parameters.

## 10 **Genomic estimated breeding values**

11 The genomic estimated breeding values (GEBVs) of the lines in the testing set (TST) were  
12 computed from both marker and phenotypic data (BLUEs) of the training set (TRN) using the  
13 reaction norm model. The mean GEBVs of *Striga* resistance parameters and grain yield for both  
14 the TRN and TST sets across the three trial locations are presented in Fig. 3, and their distribution  
15 in Supplemental Fig. 1. The results indicated that there was a close relationship between the  
16 GEBVs in TRN and TST sets (Fig. 4). The mean GEBVs were either equal in the TRN and the  
17 TST sets for STR8WAP and STR10WAP or slightly higher in the TST compared to the TRN for  
18 the other traits except grain yield for which the mean of the TST (4.0 t ha<sup>-1</sup>) was lower than that of  
19 the TRN (4.26 t ha<sup>-1</sup>). The mean GEBV of emerged *Striga* plants ranged from 7.5 for STR8WAP  
20 to 35.6 for STR12WAP in the TRN and 7.5 for STR8WAP to 36.4 for STR12WAP in the TST  
21 sets (Fig. 3). Results showed that 45, 61 and 63 lines in the TRN had lower GEBVs for STR8WAP,  
22 STR10WAP and STR12WAP, respectively. On the other hand, about 50% of the lines in the TST  
23 set had lower emerged *Striga* plants in comparison with the mean at STR8WAP, STR10WAP and  
24 STR12WAP. The mean GEBV for *Striga* damage was 2.1 and 2.6 for SDR1 and SDR2,  
25 respectively in the TRN, while that of the TST was 2.2 (SDR1) and 2.7 (SDR2) (Fig. 3). The  
26 predicted GEBV of SDR ranged from 1.7 (SDR1) to –3.1 (SDR2) for the TRN and 1.8 (SDR1)  
27 to –3.1 (SDR2) in the TST. A total of 27 and 144 DH lines showed lower GEBVs for SDR than the  
28 mean for the TRN and TST, respectively. In total, 56% (TRN) and 48.4% (TST) of the lines  
29 showed smaller AUSNPC than the mean GEBV. Additionally, 50 and 239 lines had higher  
30 predicted GY than the mean in the TRN and TST sets, respectively. Of the 606 DH lines, 282, 307

1 and 313 lines had a lower number of emerged *Striga* plants than the mean GEBVs at 8, 10 and  
2 12WAP, respectively.

### 3 **Discussion**

4 Breeding for *Striga* resistance in maize presents a unique challenge owing to the quantitative  
5 nature of *Striga* inheritance, narrow genetic base of elite *Striga* resistant germplasm, constrained  
6 phenotyping capacity, and high phenotyping costs. Breeding for *Striga* resistance therefore  
7 requires multiple approaches including classical breeding, use of molecular markers, and a  
8 combination of the two approaches to address these challenges. Our objectives were to assess the  
9 prediction accuracy of genomic selection in determining the genetic values of tested and untested  
10 DH lines under artificial *Striga* infestation.

### 11 **Phenotypic variation and heritability**

12 The testcrosses in this study were developed from a diverse set of DH lines whose pedigree  
13 included *Striga*-susceptible but elite mid-altitude tropical maize lines from CIMMYT and *Striga*  
14 resistant donor lines from IITA. The results indicated significant genotype and  $G \times E$  interaction  
15 for all traits possibly due to differential responses to *Striga* infestation among testcrosses arising  
16 from the diverse genetic backgrounds of the lines and differences among the locations used. The  
17 differences at the locations could be attributed to climatic and edaphic factors (Menkir *et al.* 2012;  
18 Makumbi *et al.* 2015). The genetic variance was 9 and 20 times larger at 10WAP and 12WAP,  
19 respectively, than at 8WAP which corroborates with results from an earlier study (Gowda *et al.*  
20 2021). This suggests that there is sufficient variability among these hybrids for *Striga* emergence  
21 that can be uncovered at 10 and 12 WAP and to reduce phenotyping costs at 8WAP. The genetic  
22 variance recorded in this study was larger than  $G \times E$  variance, similar to the result reported by  
23 Menkir and Kling (2007) and Gowda *et al.* (2021). The observed large genetic variance could  
24 arise from the use of lines containing *Striga* resistant alleles of diverse origins (Menkir, 2011;  
25 Menkir *et al.* 2012) and diverse elite mid-altitude lines from CIMMYT. Furthermore, use of DH  
26 populations could have contributed to the observed larger genetic variance (Gallais, 1990).

27 The variability observed between the number of emerged *Striga* plants and *Striga* damage rating  
28 among locations suggests the likelihood of different *Striga* ecotypes exhibiting variable virulence  
29 as well as the effects of different climatic and edaphic factors. Mbuvi *et al.* (2017) reported

1 significant variability among *Striga* ecotypes at Kibos and Alupe with the ecotypes at Kibos found  
2 to be more virulent on sorghum compared to the ecotypes at Alupe. This may explain the low  
3 *Striga* damage rating observed at Alupe despite the high number of emerged *Striga* plants recorded  
4 at this site. Heritability estimates for most of the *Striga* resistance parameters and grain yield  
5 across locations were moderate, suggesting that selection of superior inbred lines with relevant  
6 *Striga* resistance traits should be possible. Heritability estimates for *Striga* resistance parameters  
7 like emerged *Striga* counts have been variable in several studies, ranging from moderate (Adewale  
8 *et al.* 2020; Gowda *et al.* 2021; Okunlola *et al.* 2023) to high (Menkir *et al.* 2012) based on  
9 differences in the germplasm used.

10 The correlation between the number of emerged *Striga* plants at 10 and 12 WAP and grain yield  
11 was low and non-significant. This corroborates the findings by Adewale *et al.* (2020), Stanley *et al.*  
12 *et al.* (2021) and Okunlola *et al.* (2023) but is contrary to results by Menkir and Kling (2007) and  
13 Gowda *et al.* (2021). On the other hand, SDR showed significant negative correlations with grain  
14 yield, suggesting that SDR is a useful parameter for measuring *Striga* resistance under artificially  
15 infested conditions and could be used to select inbred lines combining lower *Striga* damage and  
16 higher grain yield. Correlations between two traits may be due to pleiotropy, linkage, or both,  
17 amount of linkage disequilibrium, and the effect of the environment. The low correlation between  
18 grain yield and number of emerged *Striga* plants at 10 and 12 WAP suggests a lack of linkage  
19 between genes controlling these traits. Parents of the inbred lines used in the present study show  
20 significant negative correlation between SDR and STR, and between grain yield and SDR, and  
21 STR under *Striga* infestation. It is possible that the lines derived from crosses between IITA and  
22 CIMMYT lines may not carry all the favorable alleles derived from the parental lines leading to  
23 weak correlation among these traits. Selection-induced changes can modify the genetic correlation  
24 between traits either by altering the pattern of polymorphism at loci with pleiotropic effects or by  
25 changing the linkage disequilibrium among closely linked loci (Lande, 1984). While these  
26 correlations are useful, more detailed investigations should focus on genetic correlations between  
27 various *Striga* resistance parameters and grain yield based on a larger data set (multiple  
28 environments and seasons), as these provide the breeder with a better understanding of the  
29 relationship among traits (pleiotropy or linkage) and could have implications for application of  
30 indirect selection in a breeding program.

## 1 **Genomic prediction**

2 Genotype  $\times$  environment interactions significantly influence phenotypic performance and ultimate  
3 selection potential in crops (Des *et al.* 2013). We used the reaction norm model which considers  
4 the epistatic effects resulting from various interactions among genotypes, markers, and the  
5 environment to estimate an individual's phenotype or its performance in new environments  
6 (Jarquín *et al.* 2014). Prediction of genetic values of lines in environments in which they were not  
7 tested (CV0 and CV2) resulted in low to moderate prediction accuracy. This suggests that  
8 estimation of the GEBVs of lines in new environments is possible for *Striga* resistance parameters  
9 and grain yield. This kind of genetic value prediction is akin to sparse testing due to the use of  
10 information on the performance of lines in correlated environments (Burgueño *et al.* 2012; Mageto  
11 *et al.* 2020). This is attributed to the ability of the reaction norm model to leverage information  
12 from relatives resulting from the interaction of genotypes within and across environments and  
13 correlated environments (Burgueño *et al.* 2012). The prediction accuracy for CV0, CV1 and CV2  
14 for *Striga* resistance parameters obtained in this study was lower than that reported by Gowda *et al.*  
15 (2021). However, our results indicate 14–19% better prediction accuracy for grain yield  
16 compared to Gowda *et al.* (2021) for the three CV schemes. These differences in results may be  
17 due to the complexity of *Striga* resistance, besides the differences in germplasm and prediction  
18 models used. The prediction accuracy was relatively low with the application of GS to newly  
19 developed lines (CV1). A similar finding was reported by Gowda *et al.* (2021) for *Striga* resistance  
20 in maize and by Semagn *et al.* (2022) for multiple disease resistance in wheat. The low prediction  
21 accuracy with CV1 is attributed to its reliance on the phenotypic values and genetic relationships  
22 of other lines (Burgueño *et al.* 2012; Mageto *et al.* 2020).

23 The predictive power of genetic models is significantly affected by low trait heritability (Liu *et al.*  
24 2018). The relatively low to moderate prediction accuracy observed for *Striga* resistance  
25 parameters in this study was possibly due to the low trait heritability and relatively small training  
26 population size (Heffner *et al.* 2011; Ornella *et al.* 2012). The moderate heritability for most traits  
27 may partly explain the low to moderate prediction accuracies recorded for *Striga* resistance  
28 parameters in this study. A positive correlation between high trait heritability and high prediction  
29 accuracy was reported for kernel zinc concentration in maize (Mageto *et al.* 2020). The limited  
30 TRN size was due to the limited area available for artificial *Striga* screening, which in turn limited



1 the number of testcrosses that could be evaluated in the field. A large TRN set is important for  
2 increased prediction accuracy (Lorenz *et al.* 2012; Gowda *et al.* 2015; Beyene *et al.* 2019).  
3 However, the level of prediction accuracy achieved in this study should still allow for application  
4 of GS by removing lines with the least favorable GEBVs for key *Striga* resistance traits before  
5 testcrossing (Edriss *et al.* 2017). The moderate prediction accuracies for some traits could be  
6 attributed to the close relationship between the TRN and TST sets as well as the model used  
7 (Jarquín *et al.* 2017; Brandariz and Bernardo, 2019). In this study, we identified 300 lines with  
8 desirable GEBVs for fewer emerged *Striga* plants at 10 and 12WAP. These lines putatively have  
9 good alleles that could reduce *Striga* emergence in maize. These lines should be tested in hybrid  
10 combinations under artificial *Striga* infestation and optimal conditions to identify the most suitable  
11 lines combining *Striga* resistance and other adaptive traits. Selection of genotypes that support a  
12 reduced number of emerged *Striga* plants should help in curtailing the replenishment of the *Striga*  
13 seed bank in the soil.

14

### 15 **Prospects in breeding for resistance to *Striga***

16 Breeding for *Striga* resistance is one of the strategies that can be used to increase maize grain yield  
17 while also contributing to reduced *Striga* seed bank in the soil in *Striga* affected regions in SSA.  
18 Maize breeding programs targeting *Striga* resistance are faced with a multitude of challenges  
19 which could be overcome by a combination of conventional and molecular technologies. With  
20 advances in genomic approaches and lower genotyping costs, the integration of classical and  
21 genomic-assisted breeding strategies has the potential to address some of the limitations of  
22 breeding for *Striga* resistance to enhance genetic gains. The application of genomic selection for  
23 the improvement of complex traits in tropical maize has been documented (Crossa *et al.* 2010;  
24 Vivek *et al.* 2017; Beyene *et al.* 2019, 2021). The application of DH technology for efficient inbred  
25 line development (Prasanna *et al.* 2012; Chaikam *et al.* 2019) could be used to unravel larger  
26 genetic variability for selection efficiency. The application of forward breeding for key diseases  
27 such as maize lethal necrosis (MLN) and maize streak virus (MSV) for new DH lines should reduce  
28 the number of DH lines to be phenotyped under artificial *Striga* infestation and hence reduce  
29 phenotyping costs (Prasanna *et al.* 2021).

1 Our results show that there is potential to implement GS in breeding for *Striga* resistance in maize.  
2 The application of GS in breeding for *Striga* resistance should be integrated with the use of DH  
3 lines, and application of sparse phenotyping. Sparse testing has been reported to improve the  
4 efficiency of GS through optimal resource utilization and enhancement of prediction accuracy  
5 (Jarquín *et al.* 2020; Montesinos-López *et al.* 2023b). The use of sparse testing and GS in selection  
6 for target traits has been reported in wheat and maize (Jarquín *et al.* 2020; Atanda *et al.* 2022). The  
7 application of sparse testing and GS in breeding for *Striga* resistance requires optimization of the  
8 TRN set. Montesinos-López *et al.* (2023a) suggested that the optimization of TRN populations in  
9 GS can be enhanced through appropriate prediction models and experimental designs in sparse  
10 testing. Therefore, detailed investigations on TRN size under *Striga* infestation may be necessary  
11 before scaling the application of GS in maize *Striga* resistance breeding programs. By leveraging  
12 genomic relationships and tapping into hidden replicated alleles, genomic prediction offers the  
13 benefits of more accurate predictions and effective reduction of the high costs associated with  
14 phenotyping of large sets of individuals (Vivek *et al.* 2017; Wang *et al.* 2020). Integration of  
15 several genomics-enabled techniques including use of environmental data (Jarquin *et al.* 2014;  
16 Jarquín *et al.* 2020; Crossa *et al.* 2022) should assist in achieving better genetic gains for reduced  
17 *Striga* infestation and higher grain yield under *Striga* infestation. While the application of modern  
18 breeding techniques can lead to higher genetic gains in breeding for *Striga* resistance, part of the  
19 solution to the problem of *Striga* in Africa will be integrated *Striga* management that encompasses  
20 multiple control strategies to obtain maize yield sustainability. Stacking multiple stress tolerance  
21 in addition to *Striga* tolerance (e.g. Menkir *et al.* 2020) should improve maize productivity in the  
22 *Striga* affected agroecologies in SSA.

## 23 **Conclusions**

24 Genomic-enabled selection can be an important tool in improving the efficiency of breeding for  
25 *Striga* resistance in maize. Using the reaction norm model with two cross validation schemes (CV0  
26 and CV2), our findings reveal moderate prediction accuracies for three key *Striga* resistance traits,  
27 (STR10WAP, STR12WAP and AUSNPC), and grain yield (GY) at two out of the three locations  
28 under artificial *Striga* infestation. The reaction norm model sufficiently modeled the interactions  
29 among genotypes, environments, markers, and  $G \times E$  effects, to obtain accurate genomic GEBVs.  
30 This study revealed a close relationship between the GEBVs across the TRN and TST sets for key

1 *Striga* resistance traits, with 300 DH inbred lines displaying favorable GEBVs for these  
2 parameters. These results suggest that application of genomic-enabled strategies can facilitate  
3 improvements in *Striga* resistance in maize. These results provide a foundational framework for  
4 the potential integration of GS in breeding for *Striga* resistance in maize across sub-Saharan  
5 Africa. Future research should focus on optimizing the training population size for large scale  
6 application of GS and testing a combination of GS and sparse phenotyping approaches in field  
7 evaluation of lines and hybrids for resistance to *Striga* under artificial infestation conditions.

## 8 **Data availability**

9 Supplementary data are available.

- 10 • **Supplementary Table 1 - Pedigrees of DH Lines in GS Study** gives the list and pedigrees  
11 of DH lines used in the study.
- 12 • **Supplementary Figure 1** shows the distribution of the GEBVs for the number of emerged  
13 *Striga* plants for the training and testing populations.
- 14 • The **phenotypic and marker data** are freely available from CIMMYT's Dataverse  
15 (<https://hdl.handle.net/11529/10549033>).
- 16 • File named **Phenotypic\_Data.CSV** contains phenotypic data from 232 testcross (TC)  
17 hybrids.
- 18 • File named **GS\_Marker\_Data.CSV** contains genotypic data for 606 doubled haploid  
19 (DH) lines.

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## 36 **Conflicts of Interest**

37 The authors declare no conflicts of interest.

38  
39

## 1 **Author Contributions**

2 **Joan J.C. Kimutai:** Investigation; data curation; formal analysis; writing—original draft;  
3 writing—review and editing. **Dan Makumbi:** Conceptualization; investigation; data curation;  
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- 34

1 **Table 1.** Variance component estimates and heritability for different *Striga* resistance parameters and  
 2 grain yield at three locations under artificial *Striga* infestation in 2020.

Trait	KIBOS			ALUPE			SIAYA		
	$\hat{\sigma}_G^2$	$\hat{\sigma}_E^2$	$H_a^2$	$\hat{\sigma}_G^2$	$\hat{\sigma}_E^2$	$H_a^2$	$\hat{\sigma}_G^2$	$\hat{\sigma}_E^2$	$H_a^2$
STR8WAP	16.75***	63.51	0.35	25.20***	77.05	0.40	16.23***	75.76	0.30
STR10WAP	136.66***	334.54	0.45	133.56***	325.07	0.45	44.14**	303.14	0.23
STR12WAP	275.95***	632.55	0.47	189.14***	408.31	0.48	194.70***	510.89	0.43
SDR1	0.13***	0.37	0.42	0.17***	0.29	0.54	0.20***	1.05	0.28
SDR2	0.19***	0.55	0.41	0.20***	0.49	0.46	0.19***	0.99	0.27
SDR	0.15***	0.38	0.44	0.18***	0.31	0.53	0.19***	0.94	0.29
AUSNPC	1912.02***	4475.35	0.46	1696.94***	3507.76	0.49	925.27***	3844.05	0.32
Grain yield	0.45***	1.47	0.38	0.37***	1.61	0.31	1.01***	1.76	0.53

3 \*, \*\*, \*\*\*: Significant at  $P < 0.05$ ,  $P < 0.01$ , and  $P < 0.001$ , respectively.

4  $H_a^2$ , broad-sense heritability;  $\hat{\sigma}_G^2$ , genotypic variance;  $\hat{\sigma}_E^2$ , error variance; STR8WAP, emerged *Striga* plants 8 weeks  
 5 after planting (WAP); STR10WAP, emerged *Striga* plants 10WAP; STR12WAP, emerged *Striga* plants 12 WAP;  
 6 SDR1 and 2, *Striga* damage rating at 10 and 12 WAP, respectively; SDR, Average *Striga* damage rating; AUSNPC,  
 7 Area under *Striga* number progress curve.

8  
 9

1 **Table 2.** Summary statistics, variance component estimates and heritability for different *Striga*  
 2 resistance parameters and grain yield across three locations under artificial *Striga* infestation in 2020.

Trait	Mean	Range	$LSD_{0.05}$	$\hat{\sigma}_G^2$	$\hat{\sigma}_{GE}^2$	$\hat{\sigma}_E^2$	$H_b^2$
STR8WAP	8	4–32	6.3	9.24***	9.94***	72.39	0.38
STR10WAP	27	16–82	14.1	80.02***	22.65**	322.99	0.57
STR12WAP	39	21–126	18.4	181.61***	32.99*	520.38	0.65
SDR1	2.1	1.5–3.9	0.6	0.12***	0.05***	0.57	0.51
SDR2	2.6	1.8–4.4	0.6	0.13***	0.06***	0.68	0.49
SDR	2.3	1.6–4.2	0.5	0.11***	0.05***	0.55	0.51
AUSNPC	102.2	59.5–331.0	50.0	1182.87***	295.5**	3966.04	0.61
Grain yield	4.5	3.1–6.1	1.0	0.40***	0.22***	1.61	0.54

3 \*\*, \*\*\*: Significant at  $P < 0.01$  and  $P < 0.001$ , respectively.

4  $H_b^2$ , broad-sense heritability;  $\hat{\sigma}_E^2$ , error variance;  $\hat{\sigma}_G^2$ , genotypic variance;  $\hat{\sigma}_{GE}^2$ , genotype by environmental variance;  
 5 STR8WAP, emerged *Striga* plants 8 weeks after planting (WAP); STR10WAP, emerged *Striga* plants 10WAP;  
 6 STR12WAP, emerged *Striga* plants 12 WAP; SDR1 and 2, *Striga* damage rating at 10 and 12 WAP, respectively;  
 7 SDR, Average *Striga* damage rating; AUSNPC, Area under *Striga* number progress curve.

1 **Table 3.** Prediction accuracies for *Striga* resistance parameters and grain yield using three cross  
 2 validation schemes (CV0, CV1 and CV2) for Kibos, Alupe and Siaya and across locations under  
 3 artificial *Striga* infestation.

Trait	CV0				CV1				CV2			
	KIBOS	ALUPE	SIAYA	Across locations (weighted <i>r</i> )	KIBOS	ALUPE	SIAYA	Across locations (weighted <i>r</i> )	KIBOS	ALUPE	SIAYA	Across locations (weighted <i>r</i> )
STR8WAP	0.39	<b>0.43</b>	0.07	0.30	0.35	0.15	0.07	0.19	0.34	0.18	0.08	0.20
STR10WAP	0.37	0.40	0.24	0.34	0.33	<b>0.46</b>	0.10	0.29	0.36	<b>0.56</b>	0.19	0.37
STR12WAP	0.26	0.17	0.30	0.24	0.31	<b>0.43</b>	0.19	0.31	0.31	<b>0.53</b>	0.26	0.37
SDR1	0.29	0.29	0.28	0.29	0.06	0.10	0.00	0.05	0.31	0.28	0.18	0.26
SDR2	<b>0.64</b>	<b>0.59</b>	0.36	<b>0.53</b>	0.01	0.10	0.20	0.10	0.27	0.36	0.35	0.33
SDR	0.35	0.36	0.33	0.35	0.01	0.04	0.13	0.06	0.27	0.28	0.30	0.29
AUSNPC	0.40	<b>0.53</b>	0.25	0.39	0.34	<b>0.43</b>	0.10	0.29	0.38	<b>0.56</b>	0.21	0.38
Grain yield	<b>0.59</b>	<b>0.59</b>	<b>0.59</b>	<b>0.59</b>	0.26	0.30	0.20	0.25	<b>0.63</b>	<b>0.53</b>	<b>0.52</b>	<b>0.56</b>

4 CV0, Cross validation 0; CV1, Cross validation 1; CV2, Cross validation 2; STR8WAP, emerged *Striga* plants 8  
 5 weeks after planting (WAP); STR10WAP, emerged *Striga* plants 10WAP; STR12WAP, emerged *Striga* plants 12  
 6 WAP; SDR1 and 2, *Striga* damage rating at 10 and 12 WAP, respectively; SDR, Average *Striga* damage rating;  
 7 AUSNPC, Area under *Striga* number progress curve.

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1 **FUGURE CAPTIONS**

2

3 **Fig. 1.** Boxplots of *Striga* resistance parameters and grain yield at the three trial locations in Kenya (K, Kibos; A,  
4 Alupe; S, Siaya) in 2020. STR8WAP, emerged *Striga* plants 8 weeks after planting (WAP); STR10WAP, emerged  
5 *Striga* plants 10WAP; STR12WAP, emerged *Striga* plants 12 WAP; SDR1 and 2, *Striga* damage rating at 10 and 12  
6 WAP, respectively; SDR, Average *Striga* damage rating; AUSNPC, Area under *Striga* number progress curve (m<sup>2</sup>).

7

8 **Fig. 2.** Pearson's correlation coefficients between different *Striga* resistance parameters and grain yield for testcrosses  
9 evaluated under artificial *Striga* infestation across three test locations in Kenya (Kibos, Alupe, and Siaya) in 2020.  
10 STR8WAP, emerged *Striga* plants 8 weeks after planting (WAP); STR10WAP, emerged *Striga* plants 10WAP;  
11 STR12WAP, emerged *Striga* plants 12 WAP; SDR1 and 2, *Striga* damage rating at 10 and 12 WAP, respectively;  
12 SDR, Average *Striga* damage rating; AUSNPC, Area under *Striga* number progress curve; GY, grain yield.

13

14 **Fig. 3.** Boxplots of mean GEBVs for *Striga* resistance parameters and grain yield for the training (TRN) and testing  
15 (TST) sets across the trial locations. 8WAP, emerged *Striga* plants 8 weeks after planting (WAP); 10WAP, emerged  
16 *Striga* plants 10WAP; 12WAP, emerged *Striga* plants 12 WAP; SDR1 and 2, *Striga* damage rating at 10 and 12 WAP,  
17 respectively; SDR, Average *Striga* damage rating; AUSNPC, Area under *Striga* number progress curve.

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19 **Fig. 4.** Principal component analysis of the GEBVs for the TRN and TST sets. The x and the y-axes are the first and  
20 the second principal components respectively. TRN, training population, TST, testing population.

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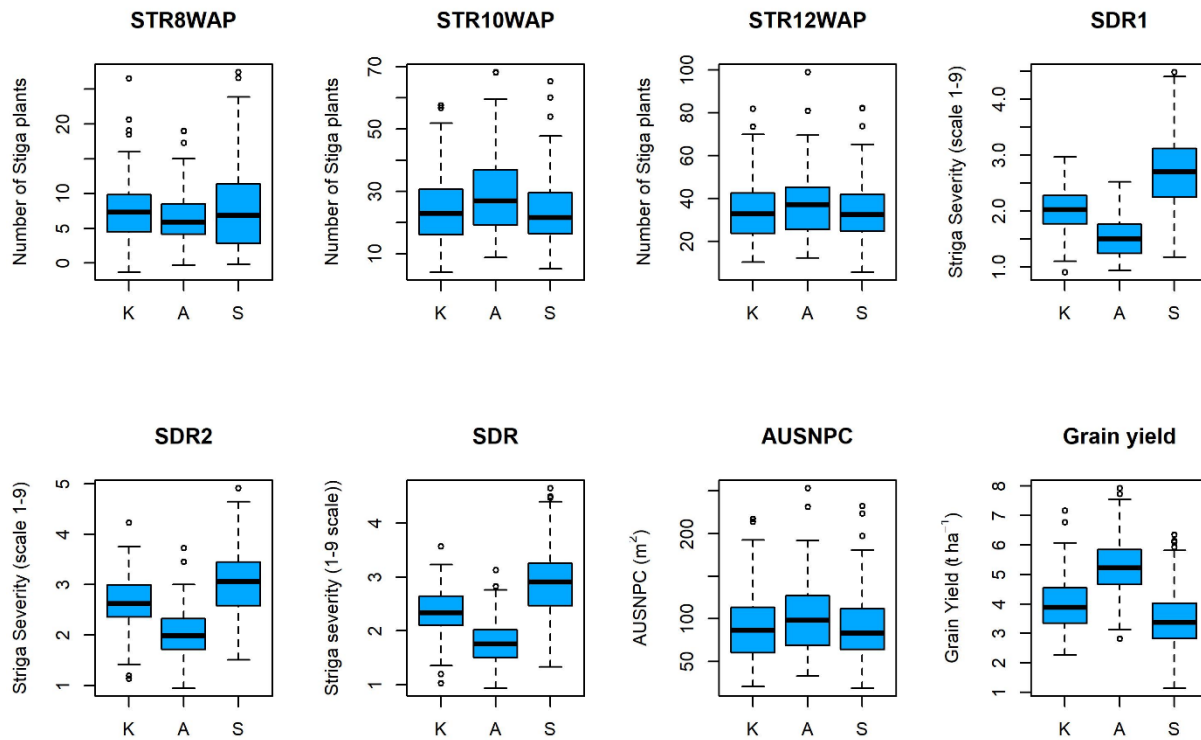


Figure 1  
190x127 mm (DPI)

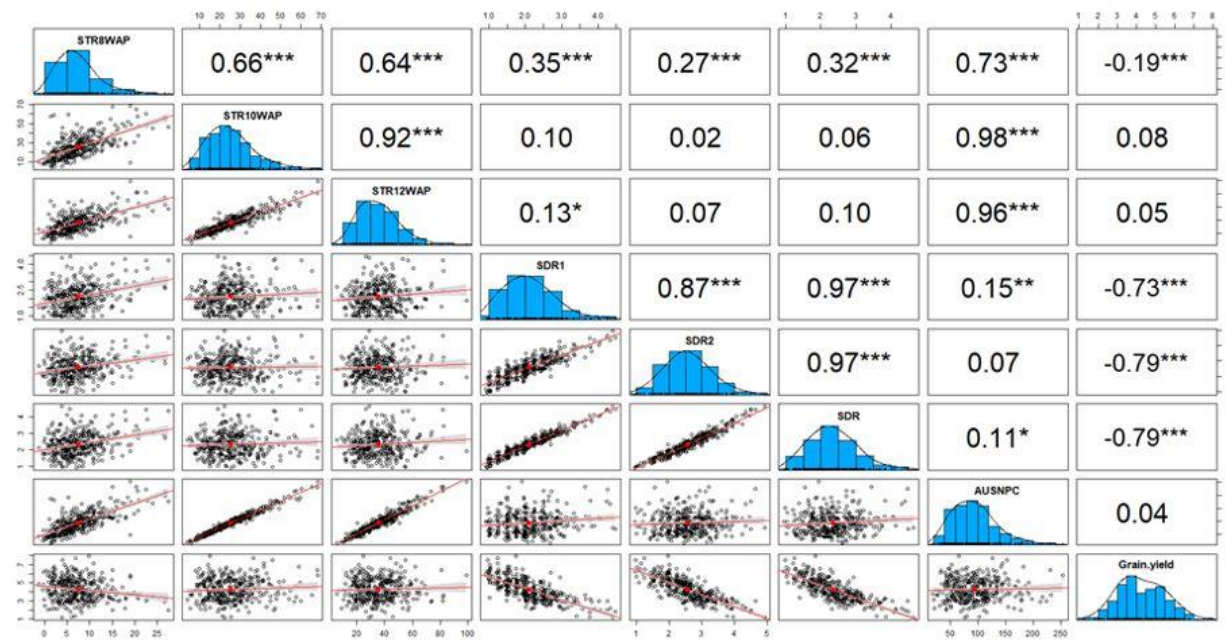


Figure 2  
244x127 mm (DPI)

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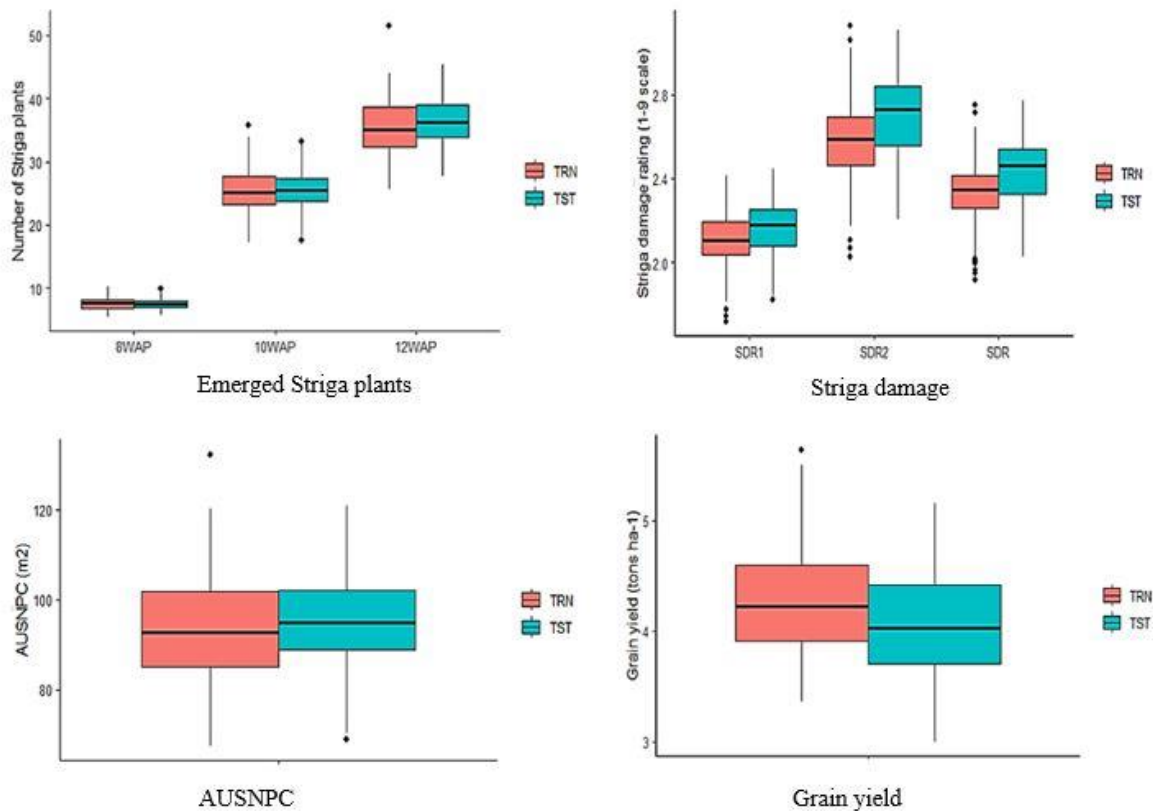


Figure 3  
201x141 mm (DPI)

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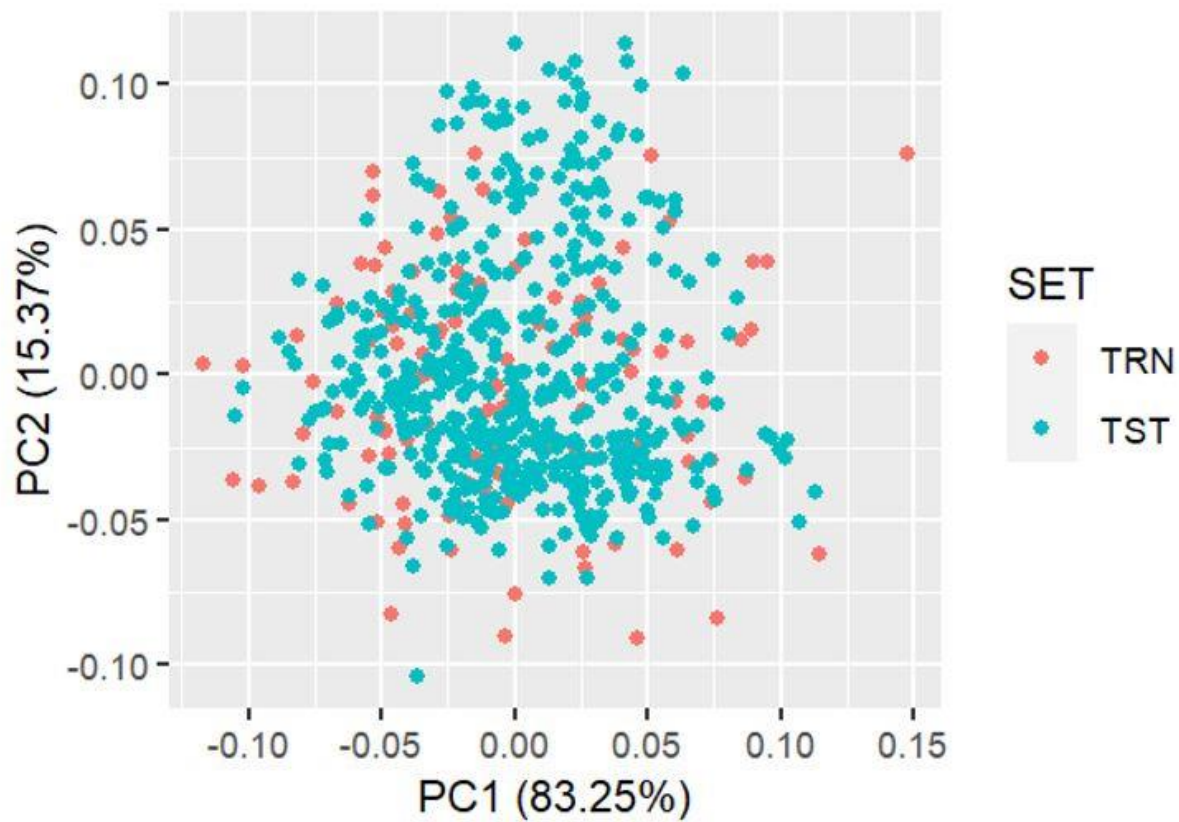


Figure 4  
200x138 mm (DPI)

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